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YESMUKHANBET SAIDAKHMETOVICH SMAILOV



Doctor of physical and mathematical sciences, Professor Smailov Esmuhanbet Saidakhmetovich passed away on May 24, 2024, at the age of 78 years.

Esmuhanbet Saidakhmetovich was well known to the scientific community as a high qualified specialist in science and education, and an outstanding organizer. Fundamental scientific articles and textbooks written in various fields of the theory of functions of several variables and functional analysis, the theory of approximation of functions, embedding theorems, and harmonic analysis are a significant contribution to the development of mathematics.

E.S. Smailov was born on October 18, 1946, in the village of Kyzyl Kesik, Aksuat district, Semipalatinsk region. In 1963, he graduated from high school with a silver medal, and in the same year he entered the Faculty of Mechanics and Mathematics of the Kazakh State University (Almaty) named after Kirov (now named after Al-Farabi). In 1971 he graduated from graduate school at the Institute of Mathematics and Mechanics.

He defended his PhD thesis in 1973 (supervisor was K.Zh. Nauryzbaev) and defended his doctoral thesis “Fourier multipliers, embedding theorems and related topics” in 1997. In 1993 he was awarded the academic title of professor.

E.S. Smailov since 1972 worked at the Karaganda State University named after E.A. Buketov as an associate professor (1972-1978), the head of the department of mathematical analysis (1978-1986, 1990-2000), the dean of the Faculty of Mathematics (1983-1987) and was the director of the Institute of Applied Mathematics of the Ministry of Education and Science of the Republic of Kazakhstan in Karaganda (2004 -2018).

Professor Smailov was one of the leading experts in the theory of functions and functional analysis and a major organizer of science in the Republic of Kazakhstan. He had a great influence on the formation of the Mathematical Faculty of the Karaganda State University named after E.A. Buketov and he made a significant contribution to the development of mathematics in Central Kazakhstan. Due to the efforts of Y.S. Smailov, in Karaganda an actively operating Mathematical School on the function theory was established, which is well known in Kazakhstan and abroad.

He published more than 150 scientific papers and 2 monographs. Under his scientific advice, 4 doctoral and 10 candidate theses were defended.

In 1999 the American Biographical Institute declared professor Smailov “Man of the Year” and published his biography in the “Biographical encyclopedia of professional leaders of the Millennium”.

For his contribution to science and education, he was awarded the Order of “Kurmet” (=“Honour”).

The Editorial Board of the Eurasian Mathematical Journal expresses deep condolences to the family, relatives and friends of Esmuhanbet Saidakhmetovich Smailov.

Short communications

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KOLMOGOROV WIDTHS OF ANISOTROPIC FUNCTION CLASSES AND FINITE-DIMENSIONAL BALLS

A.A. Vasil'eva

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Key words: Kolmogorov widths, anisotropic norms, Sobolev classes, Nikol'skii classes

AMS Mathematics Subject Classification: 41A46.

Abstract. In this paper, we obtain order estimates for the Kolmogorov widths of anisotropic periodic Sobolev and Nikol'skii classes, as well as anisotropic finite-dimensional balls.

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Let $1 \leq p_1, \dots, p_d \leq \infty$, $\bar{p} = (p_1, \dots, p_d)$, $\mathbb{T} = [0, 2\pi]$. The anisotropic norm $\|\cdot\|_{L_{\bar{p}}(\mathbb{T}^d)}$ is defined by

$$\|f\|_{L_{\bar{p}}(\mathbb{T}^d)} = \left(\int_{\mathbb{T}} \dots \left(\int_{\mathbb{T}} \left(\int_{\mathbb{T}} |f(x_1, x_2, \dots, x_d)|^{p_1} dx_1 \right)^{p_2/p_1} dx_2 \right)^{p_3/p_2} \dots dx_d \right)^{1/p_d}$$

for finite p_j ; if $p_j = \infty$ for some j , the corresponding j th integral norm is replaced by an essential supremum.

The space $L_{\bar{p}}(\mathbb{T}^d)$ consists of the equivalence classes of measurable functions satisfying $\|f\|_{L_{\bar{p}}(\mathbb{T}^d)} < \infty$.

We define the anisotropic Sobolev and Nikol'skii classes as in [30]. For different definitions of generalized smoothness, see, e.g., [4].

Given $r > 0$, $\alpha \in \mathbb{R}$, we set

$$F_r(x, \alpha) = 1 + 2 \sum_{k=1}^{\infty} k^{-r} \cos(kx - \alpha\pi/2), \quad x \in \mathbb{R}.$$

Let $\bar{r} = (r_1, \dots, r_d)$, $\bar{\alpha} = (\alpha_1, \dots, \alpha_d)$, $\bar{p} = (p_1, \dots, p_d)$, $r_j > 0$, $\alpha_j \in \mathbb{R}$, $1 \leq p_j \leq \infty$, $j = 1, \dots, d$.

Definition 1. The Sobolev class $W_{\bar{p}, \bar{\alpha}}^{\bar{r}}(\mathbb{T}^d)$ consists of functions f on \mathbb{T}^d such that for all $j \in \{1, \dots, d\}$ the integral representation

$$f(x_1, \dots, x_d) = \frac{1}{2\pi} \int_{\mathbb{T}} \varphi_j(x_1, \dots, x_{j-1}, y, x_{j+1}, \dots, x_d) F_{r_j}(x_j - y, \alpha_j) dy$$

holds with $\|\varphi_j\|_{L_{\bar{p}}(\mathbb{T}^d)} \leq 1$.

Let $h \in \mathbb{R}$, $f \in L_{\bar{p}}(\mathbb{T}^d)$. We continue f periodically to \mathbb{R}^d and set

$$\begin{aligned} \Delta_h^{1,j} f(x_1, \dots, x_d) &= f(x_1, \dots, x_{j-1}, x_j + h, x_{j+1}, \dots, x_d) - \\ &\quad - f(x_1, \dots, x_{j-1}, x_j, x_{j+1}, \dots, x_d). \end{aligned}$$

For $l \in \mathbb{N}$, $l \geq 2$, the operator $\Delta_h^{l,j}$ is defined by the equality $\Delta_h^{l,j} = \Delta_h^{1,j} \circ \Delta_h^{l-1,j}$.

Definition 2. The Nikol'skii class $H_{\bar{p}}^{\bar{r}}(\mathbb{T}^d)$ consists of all functions $f \in L_{\bar{p}}(\mathbb{T}^d)$ such that

$$\|f\|_{L_{\bar{p}}(\mathbb{T}^d)} \leq 1, \quad \|\Delta_h^{l_j,j} f\|_{L_{\bar{p}}(\mathbb{T}^d)} \leq |h|^{r_j}, \quad h \in \mathbb{R}, \quad 1 \leq j \leq d,$$

where $l_j = \lfloor r_j \rfloor + 1$.

It is well-known [30, Theorem 3.4.6] that $W_{\bar{p},\bar{\alpha}}^{\bar{r}}(\mathbb{T}^d) \subset C(\bar{r})H_{\bar{p}}^{\bar{r}}(\mathbb{T}^d)$, where $C(\bar{r})$ is a positive number depending only on \bar{r} .

Definition 3. Let X be a normed space, $M \subset X$, $n \in \mathbb{Z}_+$. The Kolmogorov n -width of M in X is defined by

$$d_n(M, X) = \inf_{L \in \mathcal{L}_n(X)} \sup_{x \in M} \inf_{y \in L} \|x - y\|;$$

here, $\mathcal{L}_n(X)$ is the family of all subspaces in X of dimension at most n .

Estimates for the widths of Sobolev classes in L_q on one-dimensional domains were obtained in [19, 15, 20, 21, 5]. In [25, 26, 27, 28], the problem of estimating the widths of Sobolev and Nikol'skii classes in $L_q(\mathbb{T}^d)$ was studied (see Definitions 1 and 2 for $p_1 = \dots = p_d = p$); in [7, 8, 29], a similar problem was considered for periodic Sobolev and Nikol'skii classes with dominating mixed smoothness. For details, see [11, 31, 30]. In [7], the anisotropic norms were also considered, but only for the following cases: 1) $1 < q_j \leq p_j < \infty$, $1 \leq j \leq d$, 2) $1 < p_j \leq q_j \leq 2$, $1 \leq j \leq d$, 3) $2 \leq p_j \leq q_j < \infty$, $1 \leq j \leq d$, 4) $1 < p_j \leq 2 \leq q_j < \infty$, $1 \leq j \leq d$, $p_1 = \dots = p_d$. (Actually, from the proof we can see that $p_1 = \dots = p_d$, $q_1 = \dots = q_d$ in case 3.) Moreover, in cases 3), 4), estimates of the widths were obtained only for the "large smoothness". The case of "small smoothness" was considered in [8] for multivariate functions and isotropic norms. In [9, 33, 34], estimates for the widths of intersections of Sobolev classes were obtained.

In [1, 2], estimates for the widths of Nikol'skii–Besov–Amanov classes in Lorentz spaces with anisotropic norms were obtained; the parameters p_j, q_j satisfied the above conditions 1)–4) (see also [3]).

In the present paper, we obtain order estimates for the Kolmogorov widths of $W_{\bar{p},\bar{\alpha}}^{\bar{r}}(\mathbb{T}^d)$ and $H_{\bar{p}}^{\bar{r}}(\mathbb{T}^d)$ in $L_{\bar{q}}(\mathbb{T}^d)$ for $2 \leq q_j < \infty$, $j = 1, \dots, d$. The parameters $p_j \in [1, +\infty]$ are arbitrary, except some limit cases (see the condition $\theta_{j_*} < \min_{j \in \mathcal{J} \setminus \{j_*\}} \theta_j$ in Theorem 1 below). In addition, the estimate for the widths is obtained in the case $1 \leq p_j \leq q_j \leq 2$ for $1 \leq j \leq \nu$, $1 \leq q_j \leq p_j \leq \infty$ for $\nu + 1 \leq j \leq d$ (see Theorem 2).

For $2 \leq q < \infty$, $1 \leq p \leq \infty$, we set

$$\omega_{p,q} = \begin{cases} 0 & \text{for } p > q, \\ \frac{1/p-1/q}{1/2-1/q} & \text{for } 2 < p \leq q, \\ 1 & \text{for } 1 \leq p \leq 2. \end{cases} \quad (1)$$

Let $I \subset \{1, \dots, d\}$ be a nonempty set, $\bar{p} = (p_1, \dots, p_d)$. We define the number $\langle \bar{p} \rangle_I$ by the equation $\frac{1}{\langle \bar{p} \rangle_I} = \frac{1}{|I|} \sum_{j \in I} \frac{1}{p_j}$. We also write $\langle \bar{p} \rangle := \langle \bar{p} \rangle_{\{1, \dots, d\}}$. For $I = \emptyset$, we set $\langle \bar{p} \rangle_I = 1$.

Let σ be a permutation of d elements such that

$$\omega_{p_{\sigma(1)}, q_{\sigma(1)}} \leq \omega_{p_{\sigma(2)}, q_{\sigma(2)}} \leq \cdots \leq \omega_{p_{\sigma(d)}, q_{\sigma(d)}}. \quad (2)$$

The numbers $\mu, \nu \in \{0, \dots, d\}$ are defined by the equations

$$\{1, \dots, \mu\} = \{j : \omega_{p_{\sigma(j)}, q_{\sigma(j)}} = 0\}, \quad \{1, \dots, \nu\} = \{j : \omega_{p_{\sigma(j)}, q_{\sigma(j)}} < 1\}. \quad (3)$$

By (5), the condition $j \in \{1, \dots, \mu\}$ is equivalent to the equation $p_{\sigma(j)} \geq q_{\sigma(j)}$ for $q_{\sigma(j)} > 2$, and to $p_{\sigma(j)} > q_{\sigma(j)}$, for $q_{\sigma(j)} = 2$; the condition $j \in \{1, \dots, \nu\}$ is equivalent to the equation $p_{\sigma(j)} > 2$.

Let

$$I(t, s) = \{\sigma(t), \sigma(t+1), \dots, \sigma(s-1), \sigma(s)\}, \quad 1 \leq t \leq s \leq d.$$

For $\bar{a} = (a_1, \dots, a_d)$, $\bar{b} = (b_1, \dots, b_d)$, we set $\bar{a} \circ \bar{b} = (a_1 b_1, \dots, a_d b_d)$.

Now we give notation for order equalities. Let X, Y be sets, $f_1, f_2 : X \times Y \rightarrow \mathbb{R}_+$. We write $f_1(x, y) \underset{y}{\asymp} f_2(x, y)$ if, for each $y \in Y$, there is $c(y) \geq 1$ such that $[c(y)]^{-1} f_2(x, y) \leq f_1(x, y) \leq c(y) f_2(x, y)$ for all $x \in X$.

Theorem 1. *Let $d \in \mathbb{N}$, $r_j > 0$, $\alpha_j \in \mathbb{R}$, $1 \leq p_j \leq \infty$, $2 \leq q_j < \infty$, $j = 1, \dots, d$. Suppose that*

$$1 + \frac{d - \mu}{\langle \bar{r} \circ \bar{q} \rangle_{I(\mu+1, d)}} - \frac{d - \mu}{\langle \bar{r} \circ \bar{p} \rangle_{I(\mu+1, d)}} > 0.$$

We set

$$\theta_t = \frac{1}{\frac{t}{\langle \bar{r} \rangle_{I(1, t)}} + \frac{2(d-t)}{\langle \bar{r} \circ \bar{q} \rangle_{I(t+1, d)}}} \left(1 + (d-t) \left(\frac{1}{\langle \bar{r} \circ \bar{q} \rangle_{I(t+1, d)}} - \frac{1}{\langle \bar{r} \circ \bar{p} \rangle_{I(t+1, d)}} \right) \right),$$

$\mu \leq t \leq \nu$; if $\nu < d$ and there is $j \in \{\nu+1, \dots, d\}$ such that $q_{\sigma(j)} > 2$, we also write

$$\theta_d = \frac{\langle \bar{r} \rangle}{d} \left(1 + \frac{d - \nu}{2 \langle \bar{r} \rangle_{I(\nu+1, d)}} - \frac{d - \nu}{\langle \bar{r} \circ \bar{p} \rangle_{I(\nu+1, d)}} \right).$$

Let $J = \{\mu, \mu+1, \dots, \nu\} \cup \{d\}$ if $\nu < d$ and there is $j \in \{\nu+1, \dots, d\}$ such that $q_{\sigma(j)} > 2$; otherwise, we set $J = \{\mu, \mu+1, \dots, \nu\}$. Suppose that there is $j_* \in J$ such that

$$\theta_{j_*} < \min_{j \in J \setminus \{j_*\}} \theta_j.$$

Then

$$d_n(W_{\bar{p}, \bar{\alpha}}^{\bar{r}}(\mathbb{T}^d), L_{\bar{q}}(\mathbb{T}^d)) \underset{\bar{p}, \bar{q}, \bar{r}, d}{\asymp} d_n(H_{\bar{p}}^{\bar{r}}(\mathbb{T}^d), L_{\bar{q}}(\mathbb{T}^d)) \underset{\bar{p}, \bar{q}, \bar{r}, d}{\asymp} n^{-\theta_{j_*}}.$$

Theorem 2. *Let $r_j > 0$, $\alpha_j \in \mathbb{R}$, $1 \leq j \leq d$, $\nu \in \{0, \dots, d\}$, $1 \leq p_j \leq q_j \leq 2$ for $1 \leq j \leq \nu$, $1 \leq q_j \leq p_j \leq \infty$ for $\nu+1 \leq j \leq d$. Suppose that*

$$\theta := \frac{\langle \bar{r} \rangle}{d} \left(1 + \frac{\nu}{\langle \bar{r} \circ \bar{q} \rangle_{\{1, \dots, \nu\}}} - \frac{\nu}{\langle \bar{r} \circ \bar{p} \rangle_{\{1, \dots, \nu\}}} \right) > 0.$$

Then

$$d_n(W_{\bar{p}, \bar{\alpha}}^{\bar{r}}(\mathbb{T}^d), L_{\bar{q}}(\mathbb{T}^d)) \underset{\bar{p}, \bar{q}, \bar{r}, d}{\asymp} d_n(H_{\bar{p}}^{\bar{r}}(\mathbb{T}^d), L_{\bar{q}}(\mathbb{T}^d)) \underset{\bar{p}, \bar{q}, \bar{r}, d}{\asymp} n^{-\theta}.$$

In order to prove Theorems 1, 2, we obtain order estimates for the widths of finite-dimensional balls (see Theorem 3 and Proposition 1 below). After that we apply the standard discretization method following [30].

Given $N \in \mathbb{N}$, $1 \leq s \leq \infty$, $(x_i)_{i=1}^N \in \mathbb{R}^N$, we set $\|(x_i)_{i=1}^N\|_{l_s^N} = \left(\sum_{i=1}^N |x_i|^s\right)^{1/s}$ for $s < \infty$, $\|(x_i)_{i=1}^N\|_{l_s^N} = \max_{1 \leq i \leq N} |x_i|$ for $s = \infty$.

Let $k_1, \dots, k_d \in \mathbb{N}$, $1 \leq p_1, \dots, p_d \leq \infty$. By $l_{p_1, \dots, p_d}^{k_1, \dots, k_d}$ we denote the space $\mathbb{R}^{k_1 \dots k_d} = \{(x_{j_1, \dots, j_d})_{1 \leq j_s \leq k_s, 1 \leq s \leq d} : x_{j_1, \dots, j_d} \in \mathbb{R}\}$ with norm defined by induction: for $d = 1$ it is $\|\cdot\|_{l_{p_1}^{k_1}}$; for $d \geq 2$,

$$\|(x_{j_1, \dots, j_d})_{1 \leq j_s \leq k_s, 1 \leq s \leq d}\|_{l_{p_1, \dots, p_d}^{k_1, \dots, k_d}} = \left\| \left(\|(x_{j_1, \dots, j_{d-1}, j_d})_{1 \leq j_s \leq k_s, 1 \leq s \leq d-1}\|_{l_{p_1, \dots, p_{d-1}}^{k_1, \dots, k_{d-1}}} \right)_{j_d=1}^{k_d} \right\|_{l_{p_d}^{k_d}}.$$

By $B_{p_1, \dots, p_d}^{k_1, \dots, k_d}$ we denote the unit ball of the space $l_{p_1, \dots, p_d}^{k_1, \dots, k_d}$.

For $d = 1$, estimates for the widths of these balls were obtained in [23, 24, 18, 19, 13, 14, 12]. The case $d = 2$ was studied in [9, 10, 16, 17, 22, 32, 6]; for details, see, e.g., [35].

Theorem 3. *Let $d \in \mathbb{N}$, $k_1, \dots, k_d \in \mathbb{N}$, $n \in \mathbb{Z}_+$, $n \leq \frac{k_1 \dots k_d}{2}$, $2 \leq q_j < \infty$, $1 \leq p_j \leq \infty$, $j = 1, \dots, d$. Let σ be a permutation of $\{1, \dots, d\}$ such that (5) holds. The numbers $\mu \in \{0, \dots, d\}$ and $\nu \in \{0, \dots, d\}$ are defined by (5). We denote $p_j^* = \max\{p_j, 2\}$, $1 \leq j \leq d$. Then*

$$\begin{aligned} d_n(B_{p_1, \dots, p_d}^{k_1, \dots, k_d}, l_{q_1, \dots, q_d}^{k_1, \dots, k_d}) &\asymp \Phi(k_1, \dots, k_d, n) := \\ &:= \prod_{j=1}^{\mu} k_{\sigma(j)}^{1/q_{\sigma(j)} - 1/p_{\sigma(j)}} \cdot \min \left\{ 1, \min_{\mu+1 \leq t \leq d} \prod_{j=\mu+1}^{t-1} k_{\sigma(j)}^{1/q_{\sigma(j)} - 1/p_{\sigma(j)}^*} \times \right. \\ &\quad \left. \times (n^{-1/2} k_{\sigma(1)}^{1/2} \dots k_{\sigma(t-1)}^{1/2} k_{\sigma(t)}^{1/q_{\sigma(t)}} \dots k_{\sigma(d)}^{1/q_{\sigma(d)}})^{\omega_{p_{\sigma(t)}, q_{\sigma(t)}}} \right\}; \end{aligned}$$

in addition, for $\nu < d$,

$$\begin{aligned} \Phi(k_1, \dots, k_d, n) &= \prod_{j=1}^{\mu} k_{\sigma(j)}^{1/q_{\sigma(j)} - 1/p_{\sigma(j)}} \cdot \min \left\{ 1, \min_{\mu+1 \leq t \leq \nu} \prod_{j=\mu+1}^{t-1} k_{\sigma(j)}^{1/q_{\sigma(j)} - 1/p_{\sigma(j)}} \times \right. \\ &\quad \left. \times (n^{-1/2} k_{\sigma(1)}^{1/2} \dots k_{\sigma(t-1)}^{1/2} k_{\sigma(t)}^{1/q_{\sigma(t)}} \dots k_{\sigma(d)}^{1/q_{\sigma(d)}})^{\omega_{p_{\sigma(t)}, q_{\sigma(t)}}}, \right. \\ &\quad \left. \prod_{j=\mu+1}^{\nu} k_{\sigma(j)}^{1/q_{\sigma(j)} - 1/p_{\sigma(j)}} \cdot n^{-1/2} k_{\sigma(1)}^{1/2} \dots k_{\sigma(\nu)}^{1/2} k_{\sigma(\nu+1)}^{1/q_{\sigma(\nu+1)}} \dots k_{\sigma(d)}^{1/q_{\sigma(d)}} \right\}. \end{aligned}$$

The proof generalizes the arguments from [13, 32].

Proposition 1. *Let $\nu \in \{0, \dots, d\}$, $1 \leq p_j \leq q_j \leq 2$ for $1 \leq j \leq \nu$, $1 \leq q_j \leq p_j \leq \infty$ for $\nu + 1 \leq j \leq d$, $n \leq \frac{k_1 \dots k_d}{2}$. Then*

$$d_n(B_{p_1, \dots, p_d}^{k_1, \dots, k_d}, l_{q_1, \dots, q_d}^{k_1, \dots, k_d}) \asymp k_{\nu+1}^{1/q_{\nu+1} - 1/p_{\nu+1}} \dots k_d^{1/q_d - 1/p_d}.$$

This estimate is a simple corollary of Malykhin's and Rjutin's result [22, Theorem 1] on estimates of the widths of a product of multi-dimensional octahedra.

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